



Robert Greene Sterne Edward J. Kessler Jorge A. Goldstein David K.S. Cornwell Robert W. Esmond Ifacy-Gene G. Durkin Michael B. Ray Robert E. Sokohl Eric K. Steffe Michael Q. Lee Steven R. Ludwig John M. Covert Linda E. Alcorn Robert C. Millonig Donald J. Featherstone Lawrence B. Bugaisky Michael V. Messinger Judith U. Kim Timothy J. Shea, Jr. Patrick E. Garrett Heidi L. Kraus Edward W. Yee Albert L. Ferro\* Donald R. Banowit Peter A. Jackman Teresa U. Medler Jeffrey S. Weaver Kendrick P. Patterson Vincent L. Capuano Glora Ellison Floyd Thomas C. Fiala Brian J. Del Buono Virgil Lee Beaston Kimberly N. Reddick Theodore A. Wood Elizabeth J. Haanes Joseph S. Ostroff Frank R. Cottingham Christine M. Lhulier Rae Lynn Pengaman Jane Shershenovich\* George S. Bardmesser Daniel A. Kleman Wichael D. Specht Andrea J. Kamage Tracy L. Muller\* LuAnne M. DeSantis John J. Figueroa Ann E. Summerfield Tiera S. Coston Aric W. Ledford\* Jessica L. Parezo

Timothy A. Doyle\* Gaby L. Longsworth\* Nicole D. Dretar\* Ted J. Ebersole Jyoti C. Iyer\* Registered Patent Agel Karen R. Markowicz Nancy J. Leith

Registered Patent Agents-Karen R. Markowicz Nancy J. Leith Helene C. Carlson Matthew J. Dowd Aaron L. Schwartz Katrina Y. Pei Quach Bryan L. Skelton Robert A. Schwartzman Teresa A. Colella Jeffrey S. Lundgren Eric D. Hayes Michelle K. Holoubek Robert H. DeSelms Simon J. Elliott Julie A. Heider Mita Mukherjee Scott M. Woodhouse

<u>Of Counsel</u> Kenneth C. Bass III Evan R. Smith Marvin C. Guthrie

\*Admitted only in Maryland \*Admitted only in Virginia •Practice Limited to Federal Agencies

July 6, 2004

WRITER'S DIRECT NUMBER: (202) 772-8625
INTERNET ADDRESS: ESTEFFE@SKGF.COM

Art Unit 1644

Mail Stop RCE

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Utility Patent Application

Application No. 09/189,702; Filed: November 10, 1998

For:

**HLA Binding Peptides and Their Uses** 

Inventors:

SETTE et al.

Our Ref:

2060.0370001/EKS/VSR

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Request For Continued Examination (RCE) Transmittal;
- 2. Petition for Extension of Time Under 37 C.F.R. § 1.136(a)(1);
- 3. Fee Transmittal (PTO/SB/17);
- 4. Preliminary Amendment And Reply Under 37 C.F.R. § 1.111(b);
- 5. Request to Delete Inventors in a Nonprovisional Patent Application Under 37 C.F.R. § 1.48(b);
- 6. Supplemental Declaration executed by Scott Southwood and Esteban Celis;
- 7. Second Supplemental Information Disclosure Statement;
- 8. PTO-1449 (3 pages);
- 9. Copies of cited documents (AL1, AR1-AT1, AR2-AT2 and AR3-AS3);
- 10. Copies of documents cited in the Supplemental Information Disclosure Statement filed July 5, 2002;



١,

Commissioner for Patents July 6, 2004 Page 2

- 11. PTO-2038 Credit Card Payment Form;
- 12. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

45, 986

Fic K. Steffe

Attorney for Applicants Registration No. 36,688

EKS/VSR:amr

284195.1

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

no persons are required to re for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

•	TOTAL	<b>AMOUNT</b>	OF F	PAYMEN	Т

Name (Print/Type)

Signature

0(

espond to a collection of info	ormation unless it displays a valid OMB control number.
Co	omplete if Known
Application Number	09/189,702
Filing Date	November 10, 1998
First Named Inventor	Alessandro SETTE
Examiner Name	Schwadron, Ronald B.
Art Unit	1644
Attorney Docket No.	2060.0370001/EKS/VSR

Telephone

Date

36,688

(202) 371-2600

7/6/04

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check X Credit card Money X Other** None	3. ADDITIONAL FEES					
*Charge any deficiencies or credit any overpayments in	Large E	ntity	Small	Entity		
L Deposit Account: the fees to Deposit Acct. No. 19-0036.  Deposit		Fee (\$)		Fee (\$)	Fee Description	Fee Paid
Account Number 19-0036	1051	130	2051	65	Surcharge - late filing fee or oath	1001.414
Deposit Account Sterne, Kessler, Goldstein & Fox P.L.L.C.	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name The Director Is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification	
Charge fee(s) indicated below Credit any overpayments	1812 2	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account.	1805 1	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	420	2252	210	Extension for reply within second month	
1. BASIC FILING FEE Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Fee Paid	1254 1	1,480	2254	740	Extension for reply within fourth month	
Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee	1255 2	2,010	2255	1,005	Extension for reply within fifth month	2010
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451 1	1,510	1451	1,510	Petition to institute a public use proceeding	
	1452	110	2452		Petition to revive - unavoidable	
SUBTOTAL (1) (\$) 0,00	1453 1	1,330	2453	665	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501 1	1,330	2501	665	Utility issue fee (or reissue)	
Ext <u>ra Claims below</u> Fee Paid	1502	480	2502	240	Design issue fee	
Total Claims 20 **= X =	1503	640	2503	320	Plant issue fee	
Independent 3 **= X =	1460	130	1460	130	Petitions to the Commissioner	
Multiple Dependent	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity	1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee Fee Fee Fee <u>Fee Description</u> Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809	770	2809	385	Filing a submission after final rejection	
1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	(37 CFR 1.129(a))  For each additional invention to be	
1204 86 2204 43 ** Reissue independent claims					examined (37 CFR 1.129(b))	770
over original patent	1801	770	2801	385		770
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
	Other f	fee (sp	ecify) I	Reaue	est Under 37 CFR 1.48(b)	130
SUBTOTAL (2) (\$) 0.00					ee Paid SUBTOTAL (3) (\$)	2,910.00
**or number previously paid, if greater; For Reissues, see above					, , , , , , , , , , , , , , , , , , , ,	
SUBMITTED BY (Complete (if applicable))  Registration No. Telephone (200) 271 0 (200)						

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

(Attorney/Agent)

45,986

## SKGF PTO FILINGS LOG BOOK

Pto Cards Re							
Comments E-FILING E-FILING E-FILING E-FILING	PM FILING PM FILING PM FILING PM FILING PM FILING		PM FILING PM FILING PM FILING	PM FILING  PM FILING  PM FILING	E PM FILING T PM FILING	PM FILING	PM FILING
Documents Filed IDS ASSIGNMENT IDS NOPPOS NOPPOS	AMEND/REPLY; CC PAYMENT FORM IDS IDS IDS REQ/CORR FILING RCPT AMEND/REPLY; TER DISCLAIMER; 2 SHEETS DWGS; CC PAYMENT FORM	REPLY M/ROMTS; EXE DECL; PRELIM AMEND; PAPER/DISK OF SEQ LISTING; CC PAYMENT FORM ISSUE FEE PAYMENT; 312 AMEND; PUB FEE; CC	PAYMENT FORM NEW UTILITY APPLN; IDS; CC PAYMENT FORM AMEND/REPLY REQ/CORR FILING RCPT NEW DPO/VISIONAL ADPI N:	CC PAYMENT FORM REQIRECON OF PET. EXE SUPP DECL; EOT. CC PAYMENT FORM RCE. AMENDIREPLY: EOT. CC PAYMENT FORM	COPIES OF DOCUMENTS FILE PM FILING REPLY TO RESTRICTION; PET FOR EOT; CC PAYMENT FORM PM FILING AMENDYREPLY; EOT; CHANG	A 11 Y DOCKE! NUMBER; CC PAYMENT FORM REVOCATION OF POA; REQ/CHANGE DOCKET NUMBER; CHANGE OF ADDRESS; CC PAYMENT FORM	ISSUE FEE PAYMENT, PUB FEE, CC PAYMENT FORM
Appl./Pat. No. 09/476,093 10/793,037 10/37,181 78171,712 76/516,292	09/721,107 10/755,367 10/755,366 60/532,665 10/695,746	10/484,080	10/178,269 TBA 10/270,378 10/471,720	TBA 10/641,031 09/839,946	09/839,946	09/395,297	09/838,387
Timekeepers PEG TGD JSW HMR HMR	DKSC/RLP TUM/RLP TUM/RLP RWEALS EJK/NDD	/ LBB/M-G	RES TGD TGD/MMP CJW	RWE MKH JAG/BJD	JAG/BJD PAJ/NJL	RES/ERS MBR/GSB	Mal
Climat No. 1744 0260001 0666 2220000 1744 0880004 1689 0460PT0 1530 0690PT0	1737.2290009 2107.0700001 2107.0670001 1735.0840001 1875,1160002	0609.5110002	1875.1660001 0666.2290000 0666.1950000 1735.0540001	2144.0230000 1875.4500000 2057.0090003	2057,0090003	1875,5780000	1744.0660008
Applicant Name SORRELLS TANAKA SORRELLS MATRICS VICAL	WIKTOR TRUDEAU TRUDEAU KASIBHATLA CHAN	GARDELLA	BEAT IRIKURA OHASHI CAI	MORIMOTO NEUMAN WILLIAMS	WILLIAMS BANZ	WILSON	SORRELLS
Date 06.1.2004 06.1.2004 06.1.2004 06.1.2004	06.JL.2004 06.JL.2004 06.JL.2004 06.JL.2004	06JL2004	06JL2004 06JL2004 06JL2004 06JL2004	06JL2004 06JL2004 06JL2004	06JL2004	06JL2004	06JL2004